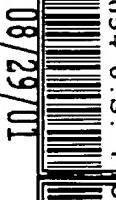


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# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No.: 2001\_1053A

First Named Inventor : Yoshiyuki SHIWAKU et al.

Title: INFORMATION TERMINAL

Express Mail Label No.:



## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. [X] Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	7. [ ] CD-ROM or CD-R in duplicate, large table or computer program (Appendix)
2. [ ] Small Entity Status is hereby asserted.	8. [ ] Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. [X] Specification <i>(preferred arrangement set forth below)</i>	a. [ ] Computer Readable Form
- Descriptive title of the Invention	b. Specification Sequence Listing on: i. [ ] CD-ROM or CD-R (2 copies); or
- Cross References to Related Applications	ii. [ ] Paper
- Statement Regarding Fed sponsored R & D	c. [ ] Statement verifying identity of above copies
- Reference to Sequence Listing, a table, or a computer program listing appendix.	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings <i>(if filed)</i>	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. [X] Drawing(s) <i>(35 USC 113)</i>	<i>(Total Sheets: 4)</i>
5. [X] Oath or Declaration	<i>(Total Pages : 5)</i>
a.1. [ ] Newly executed (original or copy)	9. [ ] Assignment Papers (cover sheet & document(s))
a.2. [X] Unexecuted	10. [ ] 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i>
b. [ ] Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	[ ] Power of Attorney
i. [ ] <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. [ ] English Translation Document <i>(if applicable)</i>
6. [ ] Application Data Sheet (see 37 CFR 1.76)	12. [ ] Information Disclosure Statement (IDS)/PTO-1449
	[ ] Copies of IDS Citations
	13. [X] Preliminary Amendment
	14. [X] Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
	15. [X] Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
	16. [ ] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
	17. [ ] Other

## ACCOMPANYING APPLICATION PARTS

- 9. [ ] Assignment Papers (cover sheet & document(s))
- 10. [ ] 37 CFR 3.73(b) Statement *(when there is an assignee)*
- [ ] Power of Attorney
- 11. [ ] English Translation Document *(if applicable)*
- 12. [ ] Information Disclosure Statement (IDS)/PTO-1449
- [ ] Copies of IDS Citations
- 13. [X] Preliminary Amendment
- 14. [X] Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
- 15. [X] Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
- 16. [ ] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. [ ] Other

If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

[ ] Continuation      [ ] Divisional      [ ] Continuation-in-part (CIP)      of prior application No.

Prior Application Information: Examiner      Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By: Charles R. Watts

Charles R. Watts  
Registration No. 33,142

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Washington, D.C. 20006-1021  
Phone:(202) 721-8200  
Fax:(202) 721-8250

August 29, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : THE COMMISSIONER IS AUTHORIZED  
Yoshiyuki SHIWAKU et al. : TO CHARGE ANY DEFICIENCY IN THE  
Serial No. NEW : FEES FOR THIS PAPER TO DEPOSIT  
Filed August 29, 2001 : ACCOUNT NO. 23-0975

Attn: APPLICATION BRANCH

Attorney Docket No. 2001\_1053A

INFORMATION TERMINAL

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$710.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$710.00

Additional Claims Fee:

Excess of Twenty ..... \$

Independent ..... \$

Multiple Dependent Fee ..... \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Yoshiyuki SHIWAKU et al.

By Charles R. Watts

Charles R. Watts

Registration No. 33,142

Attorney for Applicants

CRW/asd  
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Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
August 29, 2001

[Check No. 46195]  
2001\_1053A

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FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yoshiyuki SHIWAKU et al. : Attn: APPLICATION BRANCH

Serial No. NEW : Docket No. 2001\_1053A

Filed August 29, 2001 :

INFORMATION TERMINAL

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**COVER LETTER FOR APPLICATION FILED**  
**WITHOUT EXECUTED DECLARATION**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

The above-identified application has been submitted without an executed oath or declaration pursuant to 37 CFR 1.41(c).

It is respectfully requested that this application be assigned a serial number and awarded a filing date pursuant to 37 CFR 1.53.

A duly executed oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office pursuant to 37 CFR 1.52(d).

A non-executed copy of the Declaration and Power of Attorney, containing the inventorship information, is attached. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K Street, N.W., Suite 800  
Washington, D.C. 20006-1021

The required U.S. Patent and Trademark Office Filing Fee is submitted herewith.

Respectfully submitted,

Yoshiyuki SHIWAKU et al.

By Charles R. Watts

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Telephone (202) 721-8200  
Facsimile (202) 721-8250  
August 29, 2001

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